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| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 09/929,121  | 08/15/2001  | Toyoaki Kishimoto    | 212668US6           | 1335             |
| OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET |             |                      | EXAMINER            |                  |
|   |             |                      | TESLOVICH, TAMARA   |                  |
| ALEXANDRIA, VA 22314  |             |                      | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 2437                |                  |
|   |             |                      |                     |                  |
|   |             |                      | NOTIFICATION DATE   | DELIVERY MODE    |
|   |             |                      | 07/19/2010          | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

## Advisory Action Before the Filing of an Appeal Brief

| Application No.               | Applicant(s) |      |  |
|-------------------------------|--------------|------|--|
| 09/929,121 KISHIMOTO, TOYOAKI |              | PAKI |  |
| Examiner                      | Art Unit     |      |  |
| Tamara Teslovich              | 2437         |      |  |

| The MAILING DATE of this communication appears on the cover sheet with the correspondence address  |
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| THE REPLY FILED <u>28 June 2010</u> FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.  |
| 1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:  |
| a) The period for reply expires months from the mailing date of the final rejection.   |
| b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO  |
| MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).   |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  NOTICE OF APPEAL |
| 2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of  |
| filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).  AMENDMENTS   |
| 3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because  |
| (a) They raise new issues that would require further consideration and/or search (see NOTE below);   |
| (b) They raise the issue of new matter (see NOTE below);   |
| (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or   |
| (d) They present additional claims without canceling a corresponding number of finally rejected claims.  |
| NOTE: (See 37 CFR 1.116 and 41.33(a)).   |
| 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  |
| 5. Applicant's reply has overcome the following rejection(s):  |
| 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  |
| 7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: Claim(s) withdrawn from consideration:   |
| AFFIDAVIT OR OTHER EVIDENCE  |
| 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will <u>not</u> be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).   |
| 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will <u>not</u> be entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).   |
| 10. 🔲 The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.   |
| REQUEST FOR RECONSIDERATION/OTHER  |
| 11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: <u>See Continuation Sheet.</u>  |
| 12. Note the attached Information <i>Disclosure Statement</i> (s). (PTO/SB/08) Paper No(s).  |
| 13. ☐ Other:   |
| /Emmanuel L. Moise/<br>Supervisory Patent Examiner, Art Unit 2437  |
|  |

Continuation of 11. does NOT place the application in condition for allowance because: Applicant's remarks have been considered in their entirety but are not persuasive. Applicant argues that Cooper fails to teach or suggest generating at the web browser of the mobile device, a secret key based on the previous step of verifying the identity of an authentication server. The portions cited by the Examiner in her previous office action support the use of certificates in order for members of a system to authenticate one another before conducting transactions. Once this verification routing is complete, the device is able to generate its own key to use for future communications based on that verification. Furthermore, the storing of public keys from a variety of servers and systems within the mobile device anticipates Applicant's encryption at the web browser of the mobile device's key using a public key stored within the device corresponding to a particular server. The Examiner also respectfully disagrees with Applicant's attempts to distinguish his invention from that of Cooper by limiting Cooper to particular embodiments disclosed within his specification. Insofar as Applicant's invention was rejected in view of the reference as a whole, and numerous other portions of the reference provide for the generation of keys and more using a web browser, there is no reason to limit Cooper to a particular embodiment wherein the keys may be only be generated at a particular server and not at the user device itself. Applicant's attempts to treat particular portions of the Cooper reference as separate and distinct from the reference in its entirety are equally unpersuasive insofar the Examiner has provided a series of citations, which when taken together and in view of the reference in its entirety, anticipates Applicant's claims.